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T.D.  
04/26/02

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: William T. Wilkinson : Art Unit: Unknown  
Serial No.: Not yet assigned : Examiner: Unknown  
Filed: Herewith :  
For: METHOD OF PROVIDING  
INSURANCE FOR  
INTELLECTUAL PROPERTY



## INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

S I R :

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 submitted herewith. A copy of each of the listed references is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

No first Official Action has yet been received and it is presumed that none has yet been mailed. No fee is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,

RATNER & PRESTIA



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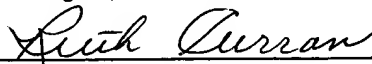
Enclosures: PTO Form 1449;  
Copy of (17) References

Dated: October 18, 2001

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The Assistant Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on: October 18, 2001



Ruth Curran

FORM PTO-1449 (Rev. 2-32)  <b>Information Disclosure Statement by Applicant</b>  (Use several sheets if necessary)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO.  WIL-101US	SERIAL NO  Not yet assigned
	APPLICANT William T. Wilkinson		
	FILING DATE Herewith	GROUP Unknown	

 J1046 U.S. PTO  
09/982440

10/18/01

## U.S. PATENT DOCUMENTS

Exmr Initial	Document Number	Date	Name	Class	Sub Class	Filing Date
	5,991,751	11/23/99	Rivette et al.	707	1	
	5,999,907	12/07/99	Donner	705	1	
	6,018,714	01/25/00	Risen et al.	705	4	
	6,154,725	11/28/00	Donner	705	1	
	6,263,314	07/17/01	Donner	705	1	

## FOREIGN PATENT DOCUMENTS

Exmr Initial	Document Number	Date	Country	Class	Sub Class	Translation YES   NO

## OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

	1)	Book review for <i>Valuing Intangible Assets</i> , by Robert F. Reilly et al. (McGraw-Hill, 1998) as posted on amazon.com
	2)	"Valuing Technology in New Applications," by Mike Hrubby et al.; <i>les Nouvelles-Journal of the Licensing Executives Society</i> as posted on technology-marketing.com/Publications/les.html
	3)	"Intellectual property: it's not just a fashion, it's the future" by Sharyn Ch'ang, (1998) 36 (5) LSJ 56
	4)	"Intellectual Property Valuation"; micronomics.com
	5)	"Intellectual Property Due Diligence for the Buyer or Seller of a Business", reinhartlaw.com/Articles
	6)	"Intellectual Property Due Diligence in Corporate Transactions: Risk Assessment, Investment, and Management"; West Group News Release (July 22, 1998);
	7)	"Checklist for Due Diligence in Intellectual Property Transactions"; Mary Ann Tucker; michbar.org
	8)	"Intellectual Property Assets as Sources of Potential Corporate Profits"; Daniel R. Bereskin.; (Dec. 1993)
	9)	"The Right Hoops, Carrying Out Effective IP Due Diligence," Richard Raysman, <i>The Intellectual Property Strategist</i> , (Dec. 1996)
	10)	<i>Assessing a Company's Most Valuable Assets: Conducting an Intellectual Property Audit</i> , Baila H. Celedonia; cll.com/articles
	11)	Book summary of <i>Intellectual Property Rights and Their Evaluation</i> ; Peter J. Groves (1997) as posted on woodhead-publishing.com/finance/banking/new/intellect.html
	12)	U.S. Patent Application titled "METHOD FOR AUDITING INTELLECTUAL PROPERTY," filed October 11, 2001, by Will Wilkinson, claiming priority on U.S. Provisional Application Serial No. 60/240,135

Examiner	Date Considered
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**Examiner:** Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.